



All information about cOAlition S approved Transformative Journals (TJs) can be found on the website: <https://www.coalition-s.org>

Below is a simplified guide for publishers to help with the next steps once their journals have been approved for TJ status.

## 1

### WHAT ARE THE TJ CRITERIA AND WHAT DO PUBLISHERS NEED TO DO?

#### SPECIFIC CRITERIA FOR TRANSFORMATIVE JOURNALS

- ✓ A Transformative Journal will need to demonstrate an annual increase in the proportion of OA research content of at least 5% points in absolute terms, **and** at least 15% in relative terms, year-on-year.

Whichever target results in the higher number of OA articles is the target to achieve.

- ✓ A Transformative Journal must clearly and publicly announce on the journal website its commitment to transition to full OA and agree to transition to full Open Access as soon as possible and in any event no later than when 75% of its research content is published Open Access.

- ✓ The publisher of the Transformative Journal must provide an annual report to cOAlition S, reporting on progress and demonstrating compliance with the requirements for Transformative Journals.

The report must provide information on the usage – including downloads, citations, and altmetrics data – of OA articles compared with the subscription content published in the Transformative Journal. The purpose of this is to enable the publisher to demonstrate how OA content is more used, cited etc., than subscription content.

The annual report must also be made accessible on the journals' public website.

#### WHAT THE PUBLISHER HAS TO DO IN 2021

By **1st July 2021**, the publisher must:

- Publish on their website the list of TJs they publish.
- Specify, for each title, the number of research articles published in 2020 and, of these, the number which were published Open Access, CC BY. This OA penetration rate will be the baseline to set the future TJ target.
- Indicate, for each title, the percentage of OA articles they will need to publish in 2021 to meet their TJ KPI.
- Supply this information in xls or csv file format, using the template provided by cOAlition S.

Provide cOAlition S with a link to the publisher-hosted page with the statement (e-mailed to [info@coalition-s.org](mailto:info@coalition-s.org) by 1st July 2021). The cOAlition S website will link to this statement.

No annual report required in 2021.

First report due in 2022.

#### WHAT THE PUBLISHER HAS TO DO IN 2022, 2023 & 2024

By 1st of June each subsequent year the publisher will need to update the TJ list on the website with the percentage of OA articles published in the previous year and indicate whether they reached the target.



You can calculate  
your annual OA growth target  
for each journal  
using this "[TJ calculator](#)"

Keep the TJ webpage up-to-date.

By 1st June each year, the publisher must publish on their website the annual progress report showing that TJ growth requirements are met.

The link to this progress report must be shared with cOAlition S.



### SPECIFIC CRITERIA FOR TRANSFORMATIVE JOURNALS (CONT'D)

✓ The Transformative Journal must implement transparent pricing for the OA content published under this model and ensure that institutions purchasing a subscription to a Transformative Journal will pay only for the remaining subscription content.

✓ The Transformative Journal must regularly update its authors on the usage, citations, and online attention of their published articles.



Please note that financial support from cOAlition S funders for the Transformative Journal model will end by 31 December 2024.

### WHAT THE PUBLISHER HAS TO DO IN 2021

No action required in 2021.

By 1st July 2021, the publisher should advise cOAlition S how it will honour this commitment.

### WHAT THE PUBLISHER HAS TO DO IN 2022, 2023 & 2024

By 1st June each year, the publisher must publish data on their website to show how revenue from TJ APCs is used to offset subscription income. A link to this page must be included in the xls file in which publishers report their OA numbers.  
The [Royal Society](#) provides a good example of a publisher showing how APCs offset subscription revenue can be evaluated by users.

The publisher is advised to keep the webpage listing TJs up to date and add the usage data.

## 2

### WHAT SHOULD THE ANNUAL PROGRESS REPORT CONTAIN?

The **Annual progress report** must contain the following information:

- ✓ **List of Transformative Journals**
- ✓ **Year 0 data**
- ✓ **Year 1 data** (including assessment as to whether KPI target was reached)
- ✓ **Year 2 target** for the % of OA articles published (using both absolute and relative growth targets)
- ✓ **Usage data:** The report must provide information on the usage – including downloads, citations, altmetrics data – of OA articles compared with the subscription content published in the Transformative Journal.
- ✓ **Link** to the page on the publisher site showing how revenue from TJ APCs is used to offset subscription income
- ✓ **Comments/observations**, especially if the KPI has not been met.

The report must be supplied using the **[Annual report template](#)**



The report should also be published on the dedicated webpage of the journal or the publisher.

Publishers must send their TJ information to cOAlition S at [info@coalition-s.org](mailto:info@coalition-s.org).



### cOAlition S

Hosted by the European Science Foundation  
1, Quai Lezay-Marnésia  
67080 Strasbourg, France