



Plan S

Making full and immediate Open Access a reality

# Plan S & Rights Retention

*Helping researchers retain their rights  
and share their work Open Access*



October 2020

cOAlition S Rights Retention Strategy

# *cOAlition S: Rights Retention Strategy*

*Helping researchers retain rights and share their work Open Access*

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## *What is the cOAlition S Rights Retention Strategy?*

- The *Rights Retention Strategy* enables researchers to continue publishing in journals of their choice while fulfilling the cOAlition S mandate to publish with immediate Open Access.
- cOAlition S Organisations now require that **at least the AAM** of all peer reviewed scholarly publications reporting on original research supported in whole or in part by their funding **are published with a CC BY licence and with no embargo**.
- **Publishers have been notified** of the strategy and invited to amend their policies to expressly allow immediate Open Access to accepted manuscripts under a CC BY licence. This notice, and the researcher's instruction to the publisher in (1) below, take legal precedence over any later copyright or licensing agreement that researchers may sign with a publisher. It helps researchers keep sufficient intellectual ownership and the rights to share their work and allows them to comply with their funder's policies.

## *Why has cOAlition S developed the Rights Retention Strategy?*

- To ensure that cOAlition S funded researchers continue to have the freedom to publish in as wide a variety of journals as possible, including subscription journals.
- To ensure that at least the AAM of cOAlition S funded research articles is immediately available Open Access in a repository at the moment of publication, with zero embargo under a CC BY licence.
- To enable researchers to comply with their funder's Open Access requirements when seeking to publish in subscription journals that fail to provide a Plan S compliant route to make the Version of Record (VoR) available in Open Access.

# cOAlition S: Rights Retention Strategy

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*What should researchers do?*

*Funded researchers – and especially those who wish to publish in subscription or ‘hybrid’ mixed Open Access journals – should do the following two things:*

1. **Inform the publisher: Researchers funded by cOAlition S Organisations must inform the publisher of their journal of choice that the AAM (or the VoR) resulting from their submission carries a CC BY public copyright licence. This can best be achieved by using (a variant of) the following language in either the submission letter or the acknowledgements section, or both:**

*“This research was funded, in whole or in part, by [Organisation Name, Grant #]. A CC BY or equivalent licence is applied to [the AAM / the VoR] arising from this submission, in accordance with the grant’s open access conditions”.*

2. **Deposit your AAM in a repository: On publication, all funded researchers will immediately make a copy of the Author Accepted Manuscript (AAM) – or, if possible, the Version of Record (VoR) – available in any Open Access repository of their choice. Many universities and funders already offer such repository services.**

In case of any disagreement with a publisher, researchers should contact their cOAlition S Organisation.



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*How will the Rights Retention Strategy benefit cOAlition S funded researchers?*

## **Researchers can:**

- **Publish in their journal of choice**, including subscription journals, and continue to meet their cOAlition S Organisation's Open Access requirements.
- **Retain their right to use their Author Accepted Manuscript (AAM) as they please**, including sharing within academic networks, and with whomever they choose under CC BY conditions.
- **Deposit the AAM in a repository** allowing cOAlition S funded publications to reach a much wider audience more quickly.



# Plan S & Rights Retention

#RetainYourRights

[www.coalition-s.org/rights-retention-strategy](http://www.coalition-s.org/rights-retention-strategy)

Helping researchers retain their rights and share their work Open Access

Example where the Author Accepted Manuscript (AAM) is shared CC BY



## Funder agreement

- At least the AAMs of all peer reviewed publications are published with a CC BY licence and no embargo



## Owned by the author

- Upon submission, the author informs the publisher that the AAM arising from this submission is licensed CC BY in accordance with the grant's open access conditions
- Acceptance following peer review
- Author Accepted Manuscript (AAM)  
Upon publication, the author immediately deposits the AAM in an Open Access repository (zero embargo, CC BY licence)



## Managed by the publisher

- Licence to Publish
- Version of Record (VoR)

cOAlition S

Hosted by the European Science Foundation  
[info@coalition-s.org](mailto:info@coalition-s.org) ● [www.coalition-s.org](http://www.coalition-s.org)



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## Contact us



### **cOAlition S**

Hosted by the European Science Foundation

1, Quai Lezay-Marnésia  
67080 Strasbourg, France  
Tel: +33 (0)3 88 76 71 00  
Fax: +33 (0)3 88 37 05 32

[info@coalition-s.org](mailto:info@coalition-s.org)  
[www.coalition-s.org](http://www.coalition-s.org)

 [@cOAlitionS\\_OA](https://twitter.com/cOAlitionS_OA)  
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